ADS Chapter 435
Commissions, Titles, and Rank

New Edition Date: 09/09/2003
Responsible Office: HCTM/PPIM
File Name: 435_090903
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ADS 435 – Commissions, Titles and Rank

435.1 OVERVIEW
Effective Date: 09/09/2003

This chapter states the policies and responsibilities for granting diplomatic and consular titles, preparing a Diplomatic List and the Presidential commissioning of USAID personnel as Senior Foreign Service (SFS) Officers and Foreign Service (FS) Officers. This chapter applies to all USAID Foreign Service Officers.

435.2 PRIMARY RESPONSIBILITIES
Effective Date: 09/09/2003

a. U.S. Department of State is responsible for granting diplomatic and consular titles.

b. The Chief of Mission (Ambassador) is responsible for composing the diplomatic list for submission to the host country government.

c. The Office of Human Capital and Talent Management (HCTM) in USAID/Washington serves as the liaison on all matters related to titles and rank for USAID employees overseas. The Office of Human Capital and Talent Management, Personnel Operations Division (HCTM/POD) and the Office of Human Capital and Talent Management, Executive Management Division (HCTM/EM) are responsible for issuing the Notification of Personnel Action (SF-50) after a title has been approved and notifying the officer/mission concerned.

d. HCTM/EM is also responsible for initiating commissioning as a member of the Senior Foreign Service.

e. The Office of Human Capital and Talent Management, Labor Relations Services Division, Personnel Management and Awards Branch (HCTM/LRS/PMA) is responsible for initiating commissioning as a Career Foreign Service Officer.

f. Missions are responsible for initiating requests for a diplomatic or consular title, rank, clear and concur in proposals for title and rank, before they are forwarded to the Department of State.

g. The employee is responsible for executing form SF 61, Appointment Affidavits upon notification that a diplomatic or consular title has been approved, if the title granted is Counselor or Counsel. The Affidavit must be executed even if the employee previously signed one for another purpose.
435.3 POLICY DIRECTIVES AND REQUIRED PROCEDURES
Effective Date: 09/09/2003

The statements contained within the .3 section of this ADS chapter are the official Agency policies and corresponding essential procedures.

435.3.1 Granting and Use of Diplomatic and Consular Titles
Effective Date: 09/09/2003

The Agency shall adhere to the Granting and Use of Diplomatic and Consular Titles policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.3.2 Requesting Diplomatic and Consular Titles
Effective Date: 09/09/2003

The appropriate steps for requesting diplomatic and consular titles follow:

a. Missions must initiate the action by sending a cable to the Office of Human Capital and Talent Management (HCTM) requesting a diplomatic title for a Foreign Service Officer who is at post or who has been approved for assignment and is pending arrival. HCTM shall prepare form DS-1474, Request for Approval of Diplomatic or Consular Title and forwards to the Department of State.

b. Requests for employees assigned to Non Executive Level positions (Non-SMG) shall be forwarded to the Office of Human Capital and Talent Management, Personnel Operations Division (HCTM/POD); and for employees assigned to Executive Level positions (SMG) to the Office of Human Capital and Talent Management, Executive Management Division (HCTM/EM). Missions must not forward requests or follow-ups to the Department of State.

c. USAID has one contact point to act as liaison for determining the status of diplomatic titles requests and for resolving issues that may arise. Missions must direct follow-up messages to HCTM/POD or HCTM/EM, as appropriate. HCTM/POD and HCTM/EM then forward these requests for discussion with the Department of State and a response to post.

Requests for Diplomatic Titles must include all of the information specified below:

a. Diplomatic Title requested;

b. Name of Officer;

c. Effective date of assignment at post or estimated date of arrival;

d. Functional position title and position number to which assigned;

Text highlighted in yellow indicates that the adjacent material is new or substantively revised.
e. Legal residence of employee and date of birth;
f. Name, grade, and Diplomatic Title, if any, held by the previous incumbent;
g. Justification for the title; and
h. Statement from the Ambassador concurring in each request.

435.3.3 Diplomatic Listing
Effective Date: 09/09/2003

The Agency shall adhere to the Diplomatic Listing policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.3.4 Need for Diplomatic and Consular Titles
Effective Date: 09/09/2003

The Agency shall adhere to the Need for Diplomatic and Consular Titles policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.3.5 Commissioned Titles
Effective Date: 09/09/2003

The Agency shall adhere to the Commissioned Titles policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.3.5.1 Commissioning as a Career Foreign Service Officer
Effective Date: 09/09/2003

Employees who were employed on or before May 23, 1983 shall be commissioned on a voluntary basis. Employees who were employed after May 23, 1983 shall be commissioned as career Foreign Service Officers immediately after tenuring if the employee’s rank is Class 3 or higher. For those whose rank was below Class 3 at the time of career appointment, their commissioning as a career Foreign Service Officer shall be initiated immediately upon promotion to Class 3.

435.3.5.2 Commissioning Upon Entry or Promotion Within the Senior Foreign Service
Effective Date: 09/09/2003

Upon promotion into or within the Senior Foreign Service and entry to the Senior Foreign Service Career Candidates, employees must receive a Presidential Commission.
435.3.6  Non-commissioned Titles  
Effective Date: 09/09/2003

The Agency shall adhere to the Non-Commissioned Titles policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.3.7  Criteria for Ambassadorial, Ministerial, and Counselor Titles  
Effective Date: 09/09/2003

The Agency shall adhere to the Criteria for Ambassadorial, Ministerial, and Counselor Titles policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.3.8  Criteria For Other Titles in Organizational or Function Positions  
Effective Date: 09/09/2003

The Agency shall adhere to the Criteria for Other Titles in Organizational or Function Positions policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.3.9  Exceptions And Changes of Titles  
Effective Date: 09/09/2003

The Agency shall adhere to the Exceptions and changes of Titles policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.3.10  Use of Titles Upon Retirement  
Effective Date: 09/09/2003

The Agency shall adhere to the Use of Titles Upon Retirement policies and practices as stated in 3 FAM 2430. (See 3 FAM 2430)

435.4  MANDATORY REFERENCES

435.4.1  External Mandatory References  
Effective Date: 09/09/2003

a.  3 FAM 2430, Commissions, Title and Rank
b.  5 USC 2902, Commission; where recorded
c.  Foreign Service Act of 1980, Section 312

435.4.2  Internal Mandatory References  
Effective Date: 09/09/2003

There are no Internal Mandatory References for this chapter.
435.5 ADDITIONAL HELP
Effective Date: 09/09/2003

a. Requests for Diplomatic Titles
b. Sample Memorandum – Commissioning of Foreign Service Officers
c. Sample Memorandum - Request for Presidential Commissioning as a Foreign Service Officer
d. Sample Request for Diplomatic Titles

435.6 DEFINITIONS
Effective Date: 09/09/2003

The terms and definitions listed below have been incorporated into the ADS Glossary. See the ADS Glossary for all ADS terms and definitions.

Definitions for terms in this chapter are located in 3 FAM 2430.

Appointment Commission
Definition in 3 FAM 2430. (Chapter 435)

Assignment Commission
Definitions for terms in this chapter are located in 3 FAM 2430. (Chapter 435)

Commissioned Diplomatic Title
See 3 FAM 2430. (Chapter 435)

Foreign Service Officer
Definition in 3 FAM 2430. (Chapter 435)

Foreign Service Post
Definition in 3 FAM 2430. (Chapter 435)

Member of the Foreign Service
Definitions for terms in this chapter are located in 3 FAM 2430 (Chapter 435)

Permanent Rank
See 3 FAM 2430. (Chapter 435)

Personal Rank
See 3 FAM 2430. (Chapter 435)
Tenure
A process that changes a Career Candidate from limited or conditional to career status. (Chapter 414, 435)

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