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ADS 320 – Branding and Marking

320.1 OVERVIEW
Effective Date: 01/08/2007

This ADS chapter contains USAID’s policy directives and required procedures on branding and marking USAID-funded programs, projects, activities, public communications, and commodities with the “USAID Standard Graphic Identity” (or “USAID Identity.”)

“Branding” refers to how a program or project is named and positioned, who it is from; it identifies the sponsor of the work.

“Marking” refers to applying graphic identities or logos to program materials or project signage to visibly acknowledge contributors; it identifies organizations supporting the work.

The “USAID Standard Graphic Identity” is the trademark, by commercial usage, of the United States Agency for International Development.

The policy directives and required procedures in this chapter apply to the following:

- All USAID direct contracts, in accordance with section 320.3.2;
- Grants and cooperative agreements to both U.S. and non-U.S., non-governmental organizations (see 320.3.3); and
- Other types of implementing instruments, as more fully discussed in 320.3.5.

The policy directives and required procedures in this chapter do not apply under the conditions stated in section 320.3.6.

This ADS chapter is authorized by Section 641 of the Foreign Assistance Act of 1961, as amended, which provides that “[p]rograms under this Act shall be identified appropriately overseas as ‘American Aid.’” Branding and marking requirements for assistance are also authorized by 2 CFR 700.

320.2 PRIMARY RESPONSIBILITIES
Effective Date: 01/02/2015

a. USAID Principal Officers are responsible for the following:

(1) Ensuring that USAID programs and the staff under their management control comply with the policy directives and required procedures for branding programs and marking program materials according to the appropriate requirements outlined in this chapter;
(2) Designating a USAID employee to be responsible for communicating USAID branding and marking policy to other employees in the operating unit (see 320.3.1.1);

(3) Informing counterpart host-country government officials and their implementing partners about USAID marking requirements and the host-country government’s responsibilities, and negotiating any changes;

(4) Ensuring an understanding of USAID branding and marking requirements by the U.S. Embassy, Ambassador or U.S. Principal Diplomatic Officer, Public Affairs Officer, and other relevant U.S. government officials and agencies who co-fund or co-manage programs;

(5) Administering the waiver policy directives and required procedures in this chapter (see 320.3.2.6); and

(6) Approving any special circumstances or Mission-specific policy directives and required procedures (see 320.3.1.7).

b. **Contracting Officers (COs)** and **Agreement Officers (AOs)** are responsible for the following:

(1) Including the applicable policy directives and required procedures in this chapter, including approved Branding Strategies and Marking Plans, in solicitations and award documents for acquisition and assistance;

(2) Approving or disapproving, in consultation with Strategic Objective Team or Requiring Office/Activity Manager and, if necessary, the Development Outreach and Communications Officer, Principal Officer or Regional Legal Advisor, exceptions to marking requirements (see 320.3.1); and

(3) Administering implementing instruments to ensure that the implementing partner and any subawardees carry out the branding and marking requirements in the award and subaward, and taking appropriate action in cases of non-compliance (see 320.3.8).

c. **The Development Objective (DO) Team**, the office that requires a contract, grant, or cooperative agreement (the **Requiring Office (RO)**), or the **Activity Manager** is responsible for ensuring that the documentation supporting the solicitation and implementing award includes consideration of the appropriate elements for branding, marking, and communicating USAID sponsorship of the program, project, activity, public communication or commodity that USAID is planning to fund, as required in this chapter.

d. **Contracting Officer's Representatives (CORs)/Agreement Officer’s Representatives (AORs)** are responsible for the following:
(1) Monitoring compliance by USAID contractors, assistance recipients, and other implementing partners with USAID branding and marking requirements, in accordance with the relevant provisions in the contract, grant, or other funding mechanism; and

(2) Taking appropriate action when an implementing partner initiates an action, such as requesting a waiver or a modification to a Marking Plan, in accordance with the terms of the award, or fails to comply with the branding and marking requirements in the award.

e. **Bureau/Office Communications Officers, Mission Development Outreach and Communications Officers (DOCs), Program Officers, or other designees**, are responsible for the following:

   (1) Providing advice and guidance on graphic design and communicating USAID branding and marking policy directives and required procedures in this chapter and its references to USAID staff and implementing partners;

   (2) Providing training for USAID staff and partners on branding and marking requirements;

   (3) Reviewing Branding Strategies, Marking Plans, and Brand Implementation Plans, as requested; and

   (4) Consulting, as requested by the AO/CO, on requests for exceptions to marking requirements.

f. The **Senior Advisor for Brand Management (or designee) in the Bureau for Legislative and Public Affairs (LPA)** is responsible for the following:

   (1) Developing and updating the Agency’s internal and external branding policy directives and required procedures, as reflected in the **USAID Graphic Standards Manual**;

   (2) Ensuring that USAID employees and partners understand and comply with USAID’s official graphic standards and providing advice and guidance on design solutions to branding and marking problems;

   (3) Consulting, as needed, with the Office of the General Counsel (GC) and Bureau for Management, Office of Acquisition and Assistance (M/OAA) on revisions to the Agency’s marking policy directives and required procedures, regulations, clauses, or provisions, including this ADS chapter; reviewing exceptions, waivers, and **Administrator determinations for amended branding/marking**; and reviewing/approving special use of contractor logos;
(4) Designing and disseminating/implementing communications, training sessions, templates, and other services and materials to assist Agency staff to educate partners in the implementation of this ADS chapter;

(5) Bringing critical USAID branding and marking policy issues to the attention of the Administrator, or his or her designee, along with a recommendation for action; and

(6) Providing information on USAID branding and marking policy directives and required procedures to Congress, the Office of Management and Budget (OMB), the Department of State, and coordinating with other agencies as needed.

g. **Cognizant Assistant Administrators (AAs)** are responsible for the following:

(1) Ensuring compliance with Agency branding and marking policy directives and required procedures and requirements by Bureau/Office and Mission staff and implementing partners;

(2) Consulting with the cognizant Principal Officer, Senior Advisor for Brand Management (LPA) or designee, or USAID/W/GC, where appropriate and if necessary, when determining waivers, and determining appeals of negative determinations by Principal Officers for a waiver of marking requirements; and

(3) In exceptional cases, request Administrator approval of a determination to use an additional or substitute logo as provided in 320.3.4.

h. The **Office of the General Counsel (GC)** and **Resident Legal Officers (RLOs)** are responsible for the following:

(1) Providing legal advice to Agency staff in interpreting this chapter and related branding and marking regulations, including reviews of waivers of marking requirements, and reviews of appeals from negative determinations to requests for waivers of marking requirements; and

(2) Assisting the Mission or the AO for an USAID/W RO in negotiating the terms of agreements regarding branding and marking in grants to foreign governments, other bilateral agreements, and interagency agreements.

i. **The Bureau for Management, Office of Acquisition and Assistance (M/OAA)** is responsible for the following:

(1) Maintaining **ADS 320, Branding and Marking**;
(2) Ensuring that ADS chapters pertaining to acquisition and assistance policy contain current branding and marking policy directives and required procedures; and

(3) Advising COs and AOs concerning their responsibilities as detailed in this chapter.

320.3 POLICY DIRECTIVES AND REQUIRED PROCEDURES

320.3.1 General
Effective Date: 01/08/2007

USAID employees must ensure that the branding and marking policy directives and required procedures in this chapter are included in the instruments USAID uses to implement development assistance programs and projects. To comply with the requirement in 320.1, that all USAID-funded foreign assistance must be branded through use of a “Branding Strategy” and marked through use of a “Marking Plan,” employees involved in program/project or activity implementation must ensure that our implementing partners communicate that the assistance is from the American people.

320.3.1.1 Branding and Marking Policy Coordination
Effective Date: 01/08/2007

USAID Principal Officers and heads of operating units must designate a USAID employee (usually the DOC, or alternate from the Program Office) to be responsible for communicating USAID branding and marking policy to other employees in the operating unit (see 320.2.e(1)). This individual must stay apprised of any embassy, interagency, or host-country-specific branding and marking guidance that affects USAID branding and marking policy. This individual consults, as requested, with other employees in the operating unit and elsewhere in the Agency who participate in planning and achieving Strategic Objectives and in designing the activities (see ADS 201, Program Cycle Operational Policy) that will implement them through the types of instruments discussed in this chapter.

These other employees include, but are not limited to, the CO or AO, the Activity Manager, other members of the DO Team or RO, legal counsel, Bureau/Office Communications Officer, and the Senior Advisor for Brand Management (LPA) or designee. The following policy directives and required procedures more fully describe their roles.

320.3.1.2 Pre-award Procedures
Effective Date: 01/02/2015

Under contract branding and marking procedures, the Activity Manager or RO prepares the Branding Strategy (BS) for inclusion in the Request for Proposal (RFP) or Request for Task Order Proposal (RFTOP), noting whether Standard USAID branding and marking standards or other standards will be used based on exceptions, waivers, or
Administrator determinations such as those for presidential initiatives in 320.3.4. Offerors respond by preparing the Branding Implementation Plan and Marking Plan (see 320.3.2.1, 320.3.2.2, and 320.3.2.3). For assistance awards (see 320.3.3), the Activity Manager or RO must similarly note whether other branding and marking standards apply for inclusion in the RFA. The Apparently Successful Applicant for new awards (see 320.3.1.4 regarding incremental funding added to existing awards) prepares and submits the BS that will be negotiated and finalized as part of the assistance award (see 320.3.3.2, and 2 CFR 700). The Apparently Successful Applicant also submits a Marking Plan in accordance with the RFA requirements. (Note: that marking is not required for implementing partners’ offices, vehicles, and items they procure for their own administrative use. See 2 CFR 700 and 320.3.6e.)

Prospective contractors and Apparently Successful Applicants may request exceptions in their submitted Marking Plans. Exceptions are programmatic in nature, and reflect the categories of foreign assistance that USAID generally does not want marked. See 320.3.2.5 for exceptions to contract marking requirements and 320.3.3.2 and 2 CFR 700 for exceptions to assistance marking requirements.

The DO Team/RO, CO/AO, DOCs, Bureau/Office Communications Officer, and Senior Advisor for Brand Management (LPA) or designee may all participate in evaluating the BSs, Brand Implementation Plans, and Marking Plans submitted by offerors or applicants, as appropriate (see 320.3.2 for acquisition, 320.3.3 for assistance). Although the CO or AO determines whether to approve exceptions to marking requirements, he/she must base this determination on advice from any, or all, of the above, legal counsel, and Mission or even Bureau/Office senior management, if appropriate, depending on the particular circumstances at post or in the operating unit.

### 320.3.1.3 Post-Award Procedures

**Effective Date:** 01/08/2007

Immediately after award, the CO or AO must brief the USAID contractor or recipient on USAID’s monitoring and enforcement of marking requirements. The CO/AO coordinates with the COR/AOR and/or Program Officer or other designee, and must emphasize that failure to meet marking requirements may be considered noncompliance with the contract, assistance award, or other USAID funding mechanism.

After award, CORs/AORs serve as the USAID point-of-contact for reviewing materials and answering questions from the implementing partners. As the individual with the most direct knowledge of the implementing partner’s activities under the award, the CTO is responsible for monitoring compliance:

- For contracts, the COR checks to make sure the contractor complies with its Branding Implementation Plan (see 320.3.2.2) and Marking Plan (see 320.3.2.3) as incorporated into the award.
For grants and cooperative agreements, the AOR monitors the recipient’s compliance with its BS and Marking Plan (see 320.3.3).

If the COR/AOR notes any cases of non-compliance, or if the COR/AOR receives any allegations of non-compliance, he/she must alert the CO or AO and they must then determine the actual facts of the matter. If the implementing partner is not complying with the branding and marking requirements in the award, then the CO or AO must take appropriate action (see 320.3.8).

CORs/AORS carry out other administrative duties described in this chapter, such as processing requests for waivers from implementing partners to the Principal Officer for review and determination (see 320.3.2.6). In order to effectively carry out these duties, the COR/AOR must seek guidance from the CO/AO, RLO, Program Office/DOC, or the Agency’s Senior Advisor for Brand Management (LPA) or designee, as needed.

In the event of unanticipated safety, security, or political concerns, or adverse reaction in the cooperating country to USAID marking requirements, the cognizant Principal Officer may waive marking requirements. See 320.3.2.6 for waivers to contract marking requirements, and 320.3.3.2 and 2 CFR 700 for waivers of assistance marking requirements.

Please note: Exceptions are programmatic, not circumstantial, in nature, and are usually approved pre-award. Waivers are circumstantial, not programmatic, in nature, and are approved in response to adverse circumstances that affect implementation.

320.3.1.4 Existing Awards

Reserved.

320.3.1.5 Administrative Communications

Effective Date: 01/08/2007

USAID contractors and recipients must not use the USAID Identity on any communications that are strictly administrative, rather than programmatic, in nature. Examples of administrative communications include, but are not limited to, correspondence with the cooperating government concerning contractor compliance with local law, such as the administration of tax, customs, or other provisions. The USAID Identity is also prohibited on contractor and recipient communications related to award administration, such as hiring/firing staff or renting office space and/or equipment. USAID CORs/AORs, COs/AOs and RLOs are available to advise partners about USAID’s implementation of USAID framework bilateral and other agreements with the cooperating country government.

320.3.1.6 Business Cards

Effective Date: 01/08/2007
It is USAID policy to prohibit the use of the USAID Identity on contractor and recipient business cards. At their option, contractors and recipients may include wording on their employees’ business cards (“USAID Contractor” or “USAID Grantee” as appropriate) to identify that the employee is working on a USAID-funded activity. In addition, if the contractor or grantee elects to identify the employee as stated above, they may also, at their option, include the USAID program name (see 320.3.2 or 2 CFR 700). However, business cards must not use the USAID Identity and designs and layouts used must make it clear that the employee is not a USAID employee.

320.3.1.7 Mission-specific Policies
Effective Date: 01/08/2007

The Principal Officer may establish Mission-specific policies for branding and marking, such as limiting public signs in high-threat environments or approving any special circumstances, such as allowing contractors to use their own corporate logos in very rare cases, and developing Mission orders to reflect any local procedures that may affect branding requirements in a particular country. Principal Officers must consult with the Senior Advisor for Brand Management (LPA) or designee as well as the Chief of Mission, Deputy Chief of Mission, and/or the Regional Security Officer (RSO), if available, to determine if any local conditions should be considered that may affect branding and marking requirements in the country.

320.3.1.8 Consultation with the Assistant Administrator (AA)
Effective Date: 01/08/2007

Missions must consult the responsible Bureau/Office AA and the Senior Advisor for Brand Management (LPA) or designee before approving a waiver or when addressing sensitive political considerations that affect branding and marking policy in a particular Mission or region.

320.3.2 Branding and Marking in USAID Direct Contracts
Effective Date: 01/08/2007

USAID policy is to require exclusive branding and marking in USAID direct acquisitions using any source of funds, except as stated elsewhere in this chapter (see 320.3.2.5, 320.3.2.6, and 320.3.6).

“Exclusive Branding” means that the program is positioned as USAID’s, as showcased by the program name (e.g., “The USAID/Basic Education Program”).

Exclusive Marking” means contractors may only mark USAID-funded programs, projects, activities, public communications, and commodities with the USAID Standard Graphic Identity and, where applicable, the host-country government or ministry symbol or another U.S. Government logo (see 320.3.5.1 and 320.3.5.2).

Except as provided in 320.3.6, this ADS chapter applies to all programs, projects, activities, public communications, and commodities funded by USAID under any direct
contract or subcontract (see ADS 302, USAID Direct Contracting). For specific guidance on implementing this chapter in USAID direct contracts see 320.3.2.1; for specific guidance for Assistance Awards, see 320.3.3.

It is USAID’s policy that contractors’ and subcontractors’ corporate identities or logos must not be used on USAID-funded program materials (see 320.3.7 for details on the use of manufacturers’ trademarks). The Principal Officer may approve exceptions to this policy, but only in extraordinary circumstances and after the Senior Advisor for Brand Management (LPA) designee clears the exception. Marking is not required on contractor vehicles, offices, and office supplies or other commodities used solely for administration of the USAID-funded program (see 320.3.6). Marking is not permitted on certain contractor communications (see 320.3.1.5).

320.3.2.1 Branding Strategy (for Contracts)
Effective Date: 01/08/2007

The DO Team/RO must develop a BS when preparing the requirement description (Statement of Work (SOW)) for a planned acquisition, including a task order under ordering instruments, such as indefinite quantity contracts or GSA Schedule contracts. The BS must identify

- The program or project name;
- How the materials and communications will be positioned (i.e., as from the American People, jointly sponsored by USAID and the host-country government, or in some other way);
- The desired level of visibility; and
- Any other organizations to be acknowledged.

COs and ROs must carefully consider whether or not to place any controls on the contractor’s release or use of data that the contractor, or any subcontractor, produces in performing the contract. In preserving the Agency’s rights, COs must ensure that solicitations and contracts include terms and conditions, including appropriate intellectual property provisions, that comply with the Federal Acquisition Regulation (FAR) and USAID Acquisition Regulation (AIDAR). When the contract requires USAID approval to release, publish, or use data first produced in performance of the contract, the CO may only grant such consent after the Principal Officer, after consultation with legal counsel and the Senior Advisor for Brand Management (LPA) or designee, approves.

320.3.2.2 Branding Implementation Plan (for Contracts)
Effective Date: 01/08/2007
A Branding Implementation Plan (BIP) is developed by existing or prospective contractors to describe how the program will be promoted to beneficiaries and host-country citizens. It outlines the events (press conferences, site visits, etc.) and materials (success stories, Public Service Announcements [PSAs], etc.) the contractor will organize and produce to assist USAID in delivering the message that the assistance is from the American people.

As stated in 320.3.2.1, the BS is part of the contract requirements, so COs must ensure that USAID contract solicitations include a BS and instruct offerors to prepare a BIP to implement the BS. These instructions must require that BIPs specifically address the following:

- How to incorporate the message, “This assistance is from the American people,” in communications and materials directed to beneficiaries, or provide an explanation if this message is not appropriate or possible.

- How to publicize the program, project, or activity in the host-country and a description of the communications tools to be used. Such tools may include the following:
  - Press releases;
  - Press conferences;
  - Media interviews;
  - Site visits;
  - Success stories;
  - Beneficiary testimonials;
  - Professional photography;
  - PSAs;
  - Videos; and
  - Webcasts, e-invitations, or other emails sent to group lists, such as participants for a training session blast e-mails or other Internet activities, etc.

- The key milestones or opportunities anticipated to generate awareness that the program, project, or activity is from the American people, or an explanation if this is not appropriate or possible. Such milestones may be linked to specific points in time, such as the beginning or end of a program, or to an opportunity to showcase publications or other materials, research findings, or program success. These include, but are not limited to, the following:
  - Launching the program,
  - Announcing research findings,
  - Publishing reports or studies,
  - Spotlighting trends,
  - Highlighting success stories,
Featuring beneficiaries as spokespeople,
Showcasing before-and-after photographs,
Marketing agricultural products or locally-produced crafts or goods,
Securing endorsements from ministry or local organizations,
Promoting final or interim reports, and
Communicating program impact/overall results.

Specific procedures for including BIP requirements can be found in ADS 302.

320.3.2.3 Marking Plan (for Contracts)
Effective Date: 01/08/2007

A Marking Plan is developed by existing or prospective contractors to enumerate the public communications, commodities, and program materials and other items that visibly bear or will be marked with the USAID Identity. As stated in 320.3.2, USAID’s policy is that programs, projects, activities, public communications, or commodities implemented or delivered under contracts and subcontracts exclusively funded by USAID are marked exclusively with the USAID Identity. Where applicable, a host-country symbol or ministry logo, or another U.S. Government logo may be added.

Except for the manufacturer’s trademark on a commercial item, the corporate identities or logos of contractors or subcontractors are not permitted on USAID-funded program materials and communications, unless specified in the USAID Graphic Standards Manual or approved in advance by the Principal Officer. The Principal Officer must obtain clearance from the Senior Advisor for Brand Management (LPA) or designee before approving the use of the contractor’s logo.

The Marking Plan may include requests for exceptions to marking requirements, to be approved by the CO. Section 320.3.2.4 describes what the Marking Plan must address. Section 320.3.2.5 lists the exceptions to Marking Plan requirements.

To ensure that all items are appropriately marked in accordance with this policy, all USAID direct contracts must incorporate a Marking Plan that details the public communications, commodities, and program materials and other items that will bear visibly the USAID Identity.

320.3.2.4 Marking Requirements for Specific Contract Deliverables
Effective Date: 01/08/2007

Contract deliverables to be marked with the USAID Identity must follow design guidance for color, type, and layout in the Graphic Standards Manual. COs must ensure that Marking Plans incorporated in USAID direct contracts specifically address the following specific contract deliverables or performance requirements:

a. Commodities or equipment provided under humanitarian assistance, disaster relief or development programs, and all other program
commodities and equipment funded by USAID contracts, and their export packaging, must prominently display the USAID Identity.

b. Program, project, or activity sites financed by USAID contracts, including visible infrastructure projects (roads, bridges, buildings, etc.) or others that are physical in nature (agriculture, forestry, water management, etc.), must prominently display the USAID Identity. Temporary signs must be erected early in the construction or implementation phase. When construction or implementation is complete, the contractor must install a permanent, durable and visible sign, plaque, or other marking.

c. Public communications financed by USAID contracts that are print products must prominently display the USAID Identity. These communications include, but are not limited to, the following:

- Publications;
- Reports;
- Research results, studies, and evaluations;
- Brochures, leaflets, informational, and promotional materials;
- Folders;
- Success stories;
- Posters;
- Banners and Signs;
- Print PSAs, newspaper supplements and other paid placements such as advertorials;
- (Non-administrative) advertisements about program events/activities;
- Training manuals, workbooks, and guides;
- Press releases, fact sheets, media advisories (*note: the U.S. Ambassador or Public Affairs Officer may request these materials to be distributed on U.S. Embassy letterhead*); and
- Letterhead used for program-related purposes (invitations to events, etc.), as opposed to contractor administrative purposes.

d. Public communications financed by USAID contracts that are audio, visual, or electronic must prominently display the USAID Identity. Such communications include, but are not limited to, the following:

- Web sites;
- Videos;
- CDs and DVDs;
- TV PSAs;
- PowerPoint and other program-related presentations;
• Mass distribution electronic mail sent for program purposes, such as invitations to training events or other widely attended program-related gatherings; and
• Radio PSAs, which must include an audio tag, such as, “made possible by USAID: From the American people.”

e. Studies, reports, publications, Web sites, and all informational and promotional products not authored, reviewed, or edited by USAID must contain a provision substantially as follows:

This study/report/Web site (specify) is made possible by the support of the American People through the United States Agency for International Development (USAID.) The contents of this (specify) are the sole responsibility of (name of organization) and do not necessarily reflect the views of USAID or the United States Government.

f. Events financed by USAID contracts must prominently display the USAID Identity. Such events include, but are not limited to, the following:

• Training courses;
• Conferences;
• Seminars;
• Briefings;
• Exhibitions;
• Fairs;
• Workshops;
• Press conferences;
• Other public meetings and activities; and
• Invitations, press releases, publicity, and media materials, presentations and handouts associated with these events that are produced under a USAID direct contract.

g. Grants under contracts, when authorized in accordance with ADS 302, must be branded and marked like grants, and the policy directives and required procedures for branding and marking of assistance awards in section 320.3.3, and 2 CFR 700, apply. The contractor is responsible for including branding and marking requirements for these grants in its BIP and MP, as part of its overall responsibility for managing grants under its contract.

h. USAID reserves the right to request preproduction review of USAID-funded public communications and program materials for compliance with USAID graphic standards and the approved Marking Plan.

All of the above requirements are subject to USAID-approved exceptions (see 320.3.2.5) and waivers (see 320.3.2.6).
320.3.2.5  Exceptions to Contract Marking Requirements
Effective Date: 01/08/2007

The following exceptions reflect USAID’s usual, non-emergency practices in not marking certain contract deliverables for programmatic reasons. The CO, in consultation with the Activity Manager/RO, has the authority to determine that marking in accordance with 320.3.2 is not appropriate, if marking of specifically identified contract deliverables, or of all contract deliverables, would:

a. Compromise the intrinsic independence or neutrality of a program or materials where independence or neutrality is an inherent aspect of the program and materials. This includes, but is not limited to, the following:
   - Election monitoring or ballots, and voter information literature;
   - Political party support or public policy advocacy or reform;
   - Independent media, such as television and radio broadcasts, and newspaper articles and editorials; and
   - PSAs or public opinion polls and surveys.

b. Diminish the credibility of audits, reports, analyses, studies, or policy recommendations whose data or findings must be seen as independent.

c. Undercut host-country government “ownership” of constitutions, laws, regulations, policies, studies, assessments, reports, publications, surveys or audits, PSAs, or other communications better positioned as “by” or “from” a cooperating country ministry, organization, or government official.

d. Impair the functionality of an item, such as sterilized equipment or spare parts.

e. Incur substantial costs or be impractical, such as items too small or other otherwise unsuited for individual marking, such as food in bulk.

f. Offend local cultural or social norms, or be considered inappropriate on such items as condoms, toilets, bed pans, or similar commodities.

g. Conflict with international law, such as the international recognized neutrality of the International Red Cross (IRC) or other organizations.

h. Deter achievement of program goals, such as cooperating with other donors or ensuring repayment of loans.
The CO’s determination that an exception does not apply is not subject to a separate appeal process but may be handled through normal contract award or administration procedures.

320.3.2.6 Waivers to Contract Marking Requirements
Effective Date: 01/08/2007

a. The USAID Principal Officer has this authority to waive, in whole or in part, USAID marking requirements. The Principal Officer may only exercise this authority if he/she determines that USAID-required markings would pose compelling political, safety, or security concerns, or that marking has had or will have an adverse reaction in the cooperating country. In exceptional circumstances, the Principal Officer may approve a blanket waiver by region or country. The Principal Officer should consult with the CO, Senior Advisor for Brand Management (LPA) or designee, RLO or USAID/GC, and the cognizant AA before approving or denying a waiver, and must consult with the RSO or country Emergency Action Committee, if available, before doing so. The waiver must consider the same information that applies to determinations of the safety and security of U.S. Government employees in the cooperating country. It must also consider any information supplied by the contractor or subcontractor for whose contract the waiver is sought. In sum, this waiver authority is for situational or security circumstances that are caused by the local environment. The Principal Officer may not re-delegate this authority, but it may be exercised by officials serving in an acting capacity.

The Principal Officer authority described in the preceding paragraph may be exercised by the Directors of the Office of U.S. Foreign Disaster Assistance and the Office of Transition Initiatives in the Bureau for Democracy, Conflict, and Humanitarian Assistance (DCHA/OFDA and DCHA/OTI, respectively), in order to waive marking requirements when implementing emergency disaster relief and assistance to internally displaced persons, humanitarian emergencies, or immediate post conflict and political crisis response in a cooperating country. The Directors, OFDA/DCHA and OTI/DCHA, must consult with the AA/DCHA and the cognizant Mission Director(s) or USAID Representative(s), as well as the USAID Senior Advisor for Brand Management (LPA) or designee, before exercising this waiver authority.

Only the CO has the authority to inform the contractor of a waiver decision and to direct the contractor to comply with it. A waiver decision may constitute a change to the contract terms and conditions, and only the CO has the authority to issue a change order to the contract.

b. USAID contractors may request waivers of the Marking Plan, in whole or in part, through the CO, with the COR then assisting in processing a waiver request to the cognizant Principal Officer. No marking is required while a waiver determination is pending. For this reason, the CO and COR must ensure that waiver requests reach the Principal Officer as soon as practicable, and the CO
must inform the contractor of the waiver decision in an equally timely manner. Approved waivers are not limited in duration, but are subject to Principal Officer review at any time due to changed circumstances (see c. below, for policy directives and required procedures for rescinding a waiver). Approved waivers “flow down” to subcontractors or grantees, unless specified otherwise. Principal Officers may also authorize the removal of USAID markings already affixed, if circumstances warrant. The Administrative CO (ACO) is responsible for notifying the contractor if it must remove USAID markings.

c. **Rescinding of Waiver of Marking Requirements.** If the circumstances on which the waiver was based change, the Principal Officer may rescind the waiver of marking requirements. In that event, the marking requirements approved in the Marking Plan apply from the date forward that the waiver is rescinded. The ACO must notify the contractor in writing when a waiver is rescinded and the effect the rescission has on the terms of or performance under the contract, including whether or not the waiver rescission constitutes a change order.

The Principal Officer may rescind a waiver after the contractor completes the activities under the contract but before the contract is closed out. The ACO or the close-out CO, in collaboration with the COR/AOR, must conduct a cost/benefit analysis to determine whether to require marking after the date of completion of the affected programs, projects, activities, public communications, or commodities. If the analysis supports applying the marking requirement, the CO must so notify the contractor. If applying the marking requirement at any time constitutes a change order or requires funding, the cognizant CO must negotiate a supplemental agreement to cover marking costs.

d. **Appealing a waiver decision.** Determinations regarding waiver requests, including a Principal Officer’s decision to rescind a waiver, are subject to appeal to the Principal Officer’s cognizant AA. The contractor may appeal by submitting, through the COR or CO, a written request to reconsider the Principal Officer’s waiver determination to the cognizant AA.

**320.3.3 Branding and Marking Requirements for Assistance Awards**  
*Effective Date: 01/08/2007*

USAID’s policy is that programs, projects, activities, public communications, or commodities implemented or delivered under co-funded instruments – such as grants, cooperative agreements, or other assistance awards that usually require a cost share – generally are “co-branded and co-marked.” In accordance with 22 CFR 226.91, this policy applies to these assistance awards even when the award does not require any cost sharing (see ADS 303.3.10).

**320.3.3.1 Co-branding and Co-marking**  
*Effective Date: 01/02/2015*
Co-branding and co-marking mean that the program name represents both USAID and the implementing partner, and the USAID Identity and implementer’s logo must both be visible with equal size and prominence on program materials produced for program purposes. Such program materials include the assistance set forth at 2 CFR 700. Program materials do not include commodities the recipient or sub-recipient procures for their own use in administering the USAID-funded program (in accordance with the definition of “commodities” in 2 CFR 700). In short, co-funding means co-branding and co-marking.

However, the AO, after consulting with the activity manager/requesting office, may determine that program goals require that the USAID Identity be larger and more prominent, if USAID is the majority donor and the USAID funded program, project, activity or public communication is especially visible and important to USAID. A host-country symbol or ministry logo or other U.S. Government seal or logo may also be added, if applicable. See 320.3.4 on the use of an additional or substitute logo or seal and tagline representing a presidential initiative or other high level interagency federal initiative.

Marking is not required for recipient’s offices, vehicles, and items the recipient procures for its own administrative use (see 2 CFR 700 and 320.3.6). The prohibitions on use of the USAID Standard Graphic Identity (see 320.3.1.5 and 320.3.1.6) apply by USAID policy to recipients of grants and cooperative agreements.

320.3.3.2 Branding and Marking Requirements for Specific Grant, Cooperative Agreement, or Other Assistance Awards
Effective Date: 01/08/2007

Marking requirements, including requests for presumptive exceptions (see 2 CFR 700) and waivers, for assistance awards must be in accordance with 2 CFR 700. By policy, USAID requires non-U.S., non-governmental organizations, including cooperating country non-governmental organizations (and in rare cases, Public International Organizations, see 320.3.6), to follow marking requirements for assistance awards as set forth in this chapter and 2 CFR 700. In addition to the presumptive exceptions in 22 CFR 226.91(h), loan programs under assistance awards may also be determined to be an exception to the USAID marking policy, in accordance with section 320.3.5.4. For unsolicited proposals and other non-competitive awards, the applicant is considered the Apparently Successful Applicant and may submit a Branding Strategy and Marking Plan with their proposal (see 320.3.1.4 regarding adding incremental funding to existing awards).

AOs, activity managers and other members of the DO Team/RO, and CTOs, with support from DOCs, Bureau/Office Communications Officers, and the Senior Advisor for Brand Management (LPA) or designee, are responsible for ensuring that Apparently Successful Applicants and recipients are familiar with the Agency guidance. A determination by an AO before an award is made that none of the presumptive exceptions applies is not subject to a separate appeal process, but may be handled through normal grant award or administration procedures. A determination by an AO
after an award is made that a presumptive exception does not apply is subject to the appeals process at 2 CFR 700.

In accordance with 2 CFR 700, Principal Officers have the authority to approve waivers of marking requirements. Recipients may appeal the Principal Officer's determination concerning a waiver to the Principal Officer's cognizant AA.

### 320.3.4 Presidential Initiatives and Interagency Federal Initiatives

Effective Date: 01/02/2015

In exceptional circumstances, upon a written determination by the USAID Administrator, USAID may authorize the use of an additional or substitute logo or seal and tagline representing a presidential initiative or other high level interagency federal initiative that requires consistent and uniform branding and marking by all participating agencies.

The DO Team or RO must obtain prior written approval of such determinations from the Administrator; all determinations must be cleared by LPA and GC/RLO and routed to the Administrator via the cognizant AA. The determination must include the appropriate Graphic Standards Manual to be used as guidance for branding, marking, and project naming related to the specific initiative. LPA must ensure that the amended USAID Identity (and reference to relevant Graphics Standards Manual(s) is/are available on the USAID Web site at [http://www.usaid.gov/branding](http://www.usaid.gov/branding). The DO Team/RO must inform the AO of any written determinations made by the Administrator, and the CO/AO must incorporate the substitute or additional branding and marking standards in the solicitation and resulting award.

### 320.3.5 Branding and Marking Requirements for Other Types of Implementation Mechanisms

Effective Date: 01/08/2007

This section states the branding and marking requirements that apply to implementing mechanisms other than USAID direct contracts (ADS 302) and assistance awards (ADS 303).

#### 320.3.5.1 Programs, Projects, or Activities Funded through Agreements between Participating U.S. Government Agencies or Other Donors and USAID

Effective Date: 01/08/2007

USAID’s policy is that programs, projects, activities, public communications, or commodities implemented or delivered in cooperation with other U.S. Government agencies or other donors are “co-branded and co-marked,” (similar to USAID’s policy about programs implemented through a recipient cost share, see 320.3.3.), as follows:

a. Programs funded in whole or in part by, or implemented in whole or in part through, participating U.S. Government agencies (such as the U.S. Department of State’s Office of the Global AIDS Coordinator, the
Millennium Challenge Corporation, or other U.S Government agencies) must be co-branded and marked appropriately, in accordance with the terms of the applicable interagency agreement. See ADS 306 for policy directives and required procedures for Interagency Agreements.

b. Programs jointly funded by USAID and Global Development Alliance partners, multilateral donors, or bilateral donors, such as the United Kingdom’s Department for International Development, must be co-branded and co-marked.

c. In case of any inconsistency, the terms and conditions of the specific agreements take precedence over the requirements of this section.

320.3.5.2 Bilateral Agreements: Brand and Mark Appropriately
Effective Date: 01/08/2007

Framework Bilateral Agreements establish the terms and conditions under which United States foreign assistance is provided to the cooperating country (see ADS 349, International Agreements). Newly negotiated or renegotiated Framework Bilateral Agreements must include a provision describing the appropriate use of branding and marking in implementing instruments under the bilateral agreement.

In addition, newly negotiated or renegotiated bilateral implementing agreements, including those listed below, that implement specific USAID funded programs, projects, or activities through the cooperating country, must also provide for appropriate use of USAID’s required branding and marking. “Appropriate use” typically means showcasing the program as a partnership with both the USAID Identity and the cooperating country government’s identity or logo of an equal size and prominence. In some cases, the provision may allow for the host-country government’s identity or logo to be larger and more prominent than the USAID Identity, depending on program needs and as determined by the Principal Officer. The Principal Officer may waive marking requirements in Bilateral Agreements for safety, security, or political reasons, or in the event of actual or anticipated adverse reaction in the cooperating country.

The provision in a bilateral implementing agreement applies to all programs, projects, activities, and public communications implemented through the following:

a. Host-country contracts (ADS 305);

b. USAID-direct contracts (ADS 302) financed by the bilateral agreement;

c. Grants or cooperative agreements to non-governmental organizations (ADS 303) and financed by the bilateral agreement;

d. Strategic Objective Grant Agreement (SOAG) and Limited Scope Grant Agreement (LSGA) (see ADS 350, Agreements with Bilateral Donors); and
e. Other implementing instruments financed by the bilateral agreement (see ADS 201).

In case of any inconsistency, the terms and conditions of the specific implementing instruments take precedence over the general requirements of this section.

320.3.5.3 Commodity Import Programs (CIPs)
Effective Date: 01/08/2007

Commodities imported under CIPs must be marked in accordance with 22 C.F.R. Part 201, the requirements of this chapter, and any applicable exceptions (see 22 CFR 201, Rules and Procedures Applicable to Commodity Transactions Financed by AID).

320.3.5.4 Development Credit Authority (DCA) and Other USAID Loan Programs
Effective Date: 01/08/2007

DCA, medium, small, microenterprise, and other USAID loan program materials must be marked with the USAID identity, but only to the extent that such marking does not deter program goals, such as ensuring repayment of guaranteed loans (see 320.3.2.5h). The operating unit providing funding for the loan program must determine whether or not the above exception to marking requirements applies at the time of obligation of funds, and include the relevant guidance in the loan documentation.

320.3.5.5 Food for Peace (FFP) Program
Effective Date: 01/08/2007

Commodities funded under the Food For Peace (FFP) program, P.L. 480, must be marked in accordance with 22 CFR 211.

320.3.6 Non-Applicability
Effective Date: 01/08/2007

This chapter does not apply to the following:

a. Ocean Freight Reimbursement. Marking does not apply to shipments of supplies by Private Voluntary Organizations (PVOs), where USAID is not financing the supplies and is only reimbursing the PVO for transportation costs.

b. Grants and Cooperative Agreements with Public International Organizations, unless USAID is the sole donor funding a specific program, project, or activity of a PIO, or in cases where other bilateral donors have received marking privileges (see 320.3.3.1).

d. **Activities of USAID implementing partners in the United States**, unless the program, project, activity, public communication, or commodity being delivered in the United States is a component of a program which is being implemented overseas and for which a Marking Plan has been approved, in which case marking is required for the U.S.-based activity. An example of an activity that may meet the marking requirement is participant training given in the United States.

e. **Contractor or recipient offices, vehicles, or non-deliverable items**, such as office supplies used primarily for administration of the USAID-funded program (see 2 CFR 700; 320.3.1; 320.3.2; and 320.3.3).

### 320.3.7 USAID Standard Graphic Identity

**Effective Date:** 01/08/2007

All use and reproduction of the USAID Standard Graphic Identity must be in compliance with all design guidelines set forth in the [USAID Standard Graphic Manual](http://www.usaid.gov/branding).

The USAID Standard Graphic Identity is the trademark, by commercial usage, of the United States Agency for International Development. It is provided without royalty, license, or other fee to organizations required to use the USAID Standard Graphic Identity, in accordance with this chapter and the terms of their funding awards. Unauthorized use of the USAID Identity without the express authorization of USAID is prohibited. Please inform USAID/W/GC of any unauthorized uses without express USAID approval.

Use of the USAID Identity is not intended to supplant use of a previous, legally registered trademark or copyright on any commodity procured with USAID funding, such as a manufacturer’s trademark on computer hardware or software purchased with USAID funding. In such instances, the manufacturer’s mark may be used along with the USAID Identity (see also 320.3.2.3 and 320.3.2.4). In all cases, the provisions of specific contracts, grants, and other implementing instruments prevail.

#### 320.3.7.1 Design, Size, Placement, and Proportion

**Effective Date:** 01/08/2007

The USAID Standard Graphic Identity must conform in design, size, placement, and proportion to the examples provided in the [USAID Standard Graphic Manual](http://www.usaid.gov/branding) and the [USAID Partner Co-Branding Guide](http://www.usaid.gov/branding).

#### 320.3.7.2 Obtaining the Standard Graphic Identity

**Effective Date:** 01/08/2007

320.3.7.3 Costs of Branding and Marking
Effective Date: 01/08/2007

Costs of branding and marking are eligible for financing in the implementing instruments included in this chapter, if the costs are reasonable, allocable, and allowable in accordance with applicable cost principles. Such costs should normally be included in the total estimated cost or bid/offer price of the implementing partner.

320.3.8 Noncompliance
Effective Date: 01/08/2007

If USAID implementing partners do not comply with the marking requirements as established in the approved Marking Plan, the CO/AO, in consultation with Principal Officer or other head of USAID operating unit, the COR/AOR, and the Program Officer, must initiate corrective action. Such action may involve informing the host-country government, contractor, recipient, or other USAID partner of the instances of noncompliance and requesting that it carry out its responsibilities as set forth in the applicable agreement. Major or chronic noncompliance with the BS, BIP or Marking Plan or marking requirements will trigger remedies available to USAID under the specific provisions of the relevant USAID contract or assistance award, or other USAID-funded award (for cases of noncompliance with assistance marking requirements, see 2 CFR 700).

320.3.9 Audit of Marking Requirements
Effective Date: 01/08/2007

Marking requirements, like other provisions of USAID awards, are subject to audit by the USAID Inspector General.

320.4 MANDATORY REFERENCES

320.4.1 External Mandatory References
Effective Date: 01/08/2007

a. 22 CFR 201, Rules and Procedures Applicable to Commodity Transactions Financed by AID

b. 22 CFR 211, Transfer of Food Commodities for Food Use in Disaster Relief, Economic Development, and Other Assistance

c. 2 CFR 700


e. Public Law 480, Section 202 (authority for marking Food For Peace (FFP) programs)
320.4.2 Internal Mandatory References
Effective Date: 04/25/2013

a. ADS 201, Program Cycle Operational Policy
b. ADS 300, Agency Acquisition and Assistance (A&A) Planning
c. ADS 302, USAID Direct Contracting
d. ADS 303, Grants and Cooperative Agreements to Non-Governmental Organizations
e. ADS 305, Cooperating country Contracts
f. ADS 306, Interagency Agreements
g. ADS 349, International Agreements
h. ADS 350, Grants to Foreign Governments
i. ADS 512, Agency Printing and Graphics Services
j. ADS 557, Public Information
k. “Branding and Marking in USAID Direct Contracting” (Reserved)
l. USAID Standard Graphic Identity
m. USAID Graphic Standards Manual

320.5 ADDITIONAL HELP
Effective Date: 01/08/2007

There are no additional help documents for this chapter.

320.6 DEFINITIONS
Effective Date: 01/02/2015

The terms and definitions listed below have been incorporated into the ADS Glossary. See the ADS Glossary for all ADS terms and definitions.

Apparently Successful Applicant(s)
The applicant(s) for USAID funding recommended for an award after technical evaluation, but who has not yet been awarded a grant, cooperative agreement or other assistance award by the Agreement Officer. Apparently successful applicant status
confers no right and constitutes no USAID commitment to an award, which still must be obligated by the Agreement Officer. (Chapter 320)

**Branding Implementation Plan**
A Branding Implementation Plan, developed by contractors, describes how the program will be communicated to beneficiaries and promoted to host-country citizens; it outlines the events and materials the contractor will use to deliver the message that the assistance is from the American people. (Chapter 320)

**Branding Strategy**
A Branding Strategy, developed in the case of a contract award by the Strategic Objective Team or Requesting Office, or in the case of an assistance award by the Apparently Successful Applicant, identifies the program or project name, how the materials and communications will be positioned (i.e. as from the American People, jointly sponsored by USAID and the host-country government or assistance implementing partner, or some other way), the desired level of visibility and the communications tools used to publicize the aid as from the American people. (Chapter 320)

**Commodity Import Program (CIP)**
A program in which USAID provides foreign exchange to a cooperating country that, by the terms of the applicable agreement between USAID and the cooperating country, is used to finance particular commodity import transactions of the cooperating country. (Chapter 320 and 324).

**Marking Plan**
A plan that USAID Implementing partners provide detailing the public communications, commodities, program materials and other items that will visibly bear or be marked with the USAID Identity. It also requests any exceptions to marking. (Chapter 320)

**partner**
An organization or individual with which/whom the Agency collaborates to achieve mutually agreed-upon objectives and secure participation of ultimate customers. Partners can include host country governments, private voluntary organizations, host country and international NGOs, universities, other U.S. Government agencies, United Nations and other multilateral organizations, professional and business associations, and private businesses and individuals. (Chapter 320)

**Principal Officers**
The most senior officer in a USAID Operating Unit in the field, e.g., USAID Mission Director or USAID Representative. Principal Officers also include the directors of USAID/W/Office of U.S. Foreign Disaster Assistance and Office of Transition Initiatives when those offices are implementing emergency disaster relief and assistance to internally displaced persons, humanitarian emergencies or immediate post conflict and political crisis response in a cooperating country. For non-presence countries, the cognizant Principal Officer is the Senior USAID officer in a regional USAID Operating
Unit responsible for the non-presence country, or in the absence of such a responsible operating unit, the Principle U.S Diplomatic Officer in the non-presence country exercising delegated authority from USAID. (Chapter 320)

public communications
Documents and messages intended for distribution to audiences external to the recipient’s organization. They include, but are not limited to, correspondence, publications, studies, reports, audio visual productions, and other informational products; applications, forms, press and promotional materials used in connection with USAID funded programs, projects or activities, including signage and plaques; Web sites/Internet activities; and events such as training courses, conferences, seminars, press conferences and the like. (Chapter 320)

USAID and Presidential or Other High-Level Initiative Graphic Standards Manual
USAID-produced publication that is provided free of charge to recipients of USAID-funded contracts or other acquisition awards or subawards, that details recommended marking practices and provides examples of USAID-funded programs, projects, activities, public communications, and commodities marked with the USAID Identity. In exceptional cases related to presidential or other high-level initiatives that have received Administrator (or designee) approval and clearance from LPA and GC/RLO, the appropriate Graphic Standards Manual shall be shared and used as guidance for branding, marking, and project naming related to the specific initiative. (Chapter 320)

USAID Standard Graphic Identity or USAID Identity
Official marking for the United States Agency for International Development (USAID) comprised of the USAID logo or seal and brandmark with the tagline that clearly communicates our assistance is “from the American people”. In exceptional circumstances, upon a written determination by the USAID Administrator or designee, the definition of the USAID Identity may be amended to include additional or substitute use of a logo or seal and tagline representing a presidential initiative or other high level interagency federal initiative that requires consistent and uniform branding and marking by all participating agencies. The USAID Identity (including any required presidential initiative or related identity) is available on the USAID Web site at http://www.usaid.gov/branding and is provided without royalty, license or other fee to recipients of USAID funded grants or cooperative agreements or other assistance awards. (Chapter 320)