

Mandatory Reference: 577
 File Name: 577maf_091503_cd33
 New Reference: 09/15/2003
 Effective Date: 06/16/2003

Table 2: The CPIC Process

| Investment Stage | Roles and Responsibilities | Actions | Outputs |
|-------------------------|-----------------------------------|--|--|
| Preparation | Executive Sponsor | <ul style="list-style-type: none"> - Formulate investment concept. - Discuss investment idea with CIO or designee and PPC/RA. - Determine potential investment level. - Prepare investment proposal documentation and send to CIO and M/IRM. | Investment proposal documentation (OMB Exhibit 300 or USAID 300i Decision Request document). (For more information, see Table 1 and 577.6, Definitions.) |
| | M/IRM | <ul style="list-style-type: none"> - Check proposal for architectural compatibility and security compliance. | Decision on congruence of proposal with architecture requirements. |
| Selection | CIO or designee | <ul style="list-style-type: none"> - Assess each investment to finalize appropriate Level for processing. | <ul style="list-style-type: none"> - Investment Level determination. - Feedback to Executive Sponsor. |
| | | <ul style="list-style-type: none"> - Review USAID 300i Decision Request for Level I investment approval. | Level I investment approval or disapproval. |
| | | <ul style="list-style-type: none"> - Assess impacts on current portfolio. | Portfolio impact assessment document. |
| | Executive Sponsor | <ul style="list-style-type: none"> - Prepare investment proposal presentation. | Investment presentation material. |
| | | <ul style="list-style-type: none"> - Present proposal to CPIC Subcommittee for Level II and Level III reviews. | Presentation. |
| | CPIC Subcommittee | <ul style="list-style-type: none"> - Review Level II and Level III investments for recommendation of approval to BTEC. | Recommendations on Level II and III investments. |
| | BTEC | <ul style="list-style-type: none"> - Review Level II and III investment proposals for approval. | Approval or disapproval of Level II and Level III investments. Advise A/AID on those investments identified by the Administrator for further review. |

Table 2: The CPIC Process (contd.)

| Investment Stage | Roles and Responsibilities | Actions | Outputs |
|-------------------------|-----------------------------------|---|--|
| | Administrator (A/AID) | <ul style="list-style-type: none"> - Identify any investments of special interest to review or approve. - Ultimate authority to approve or disapprove BTEC recommendations for such investments. | <ul style="list-style-type: none"> - Indication of investments of special interest and role wants to play in reviewing or approving them. - Approval or disapproval of selected investments. |
| Control | Executive Sponsor | <ul style="list-style-type: none"> - Report on status of investment. | <ul style="list-style-type: none"> - Periodic progress reports to CIO for Level I, CPIC Subcommittee for Level II, and BTEC for Level III investments. |
| | CIO | <ul style="list-style-type: none"> - Review status of Level I investments. - Advise CPIC Subcommittee and BTEC on tradeoffs related to Level II and III investments. - Assess portfolio performance. | <ul style="list-style-type: none"> - Decision on whether to continue Level I investments based on status and tradeoffs with other investments. - Presentations to CPIC Subcommittee and BTEC on tradeoff decisions for Level II and III investments. - Recommendations for portfolio balancing and other changes. |
| | CPIC Subcommittee | <ul style="list-style-type: none"> - Review status of Level II and Level III investments. - Advise BTEC on tradeoffs related to Level II and Level III investments. | <ul style="list-style-type: none"> - Recommendations to BTEC on whether to continue Level II and Level III investments based on status and tradeoffs with other investments. - Tradeoff recommendations to BTEC for Level II and Level III investments. |
| | BTEC | <ul style="list-style-type: none"> - Review status of Level III investments for decision. | <ul style="list-style-type: none"> - Decision on whether to continue investment based on status and tradeoffs with other investments. |
| | Administrator (A/AID) | <ul style="list-style-type: none"> - Identify any investments of special interest to review. - Ultimate authority to approve or disapprove BTEC recommendations for such investments. | <ul style="list-style-type: none"> - Indication of investments of special interest and role wants to play in reviewing them. - Approval or disapproval of selected investments. |
| Evaluation | Executive Sponsor | <ul style="list-style-type: none"> - Assess success of investment. | <ul style="list-style-type: none"> - Implementation Review Report provided to the CPIC Subcommittee for Level II and II investments and to the CIO for all other investments. |
| | CIO | <ul style="list-style-type: none"> - Review Level I reports. | <ul style="list-style-type: none"> - Comments provided to Executive Sponsor. |
| | CPIC Subcommittee | <ul style="list-style-type: none"> - Review Level II & Level III reports and update BTEC. | <ul style="list-style-type: none"> - Comments provided to Executive Sponsor and CIO. |

Table 2: The CPIC Process (contd.)

| Investment Stage | Roles and Responsibilities | Actions | Outputs |
|-------------------------|-----------------------------------|---|---|
| | BTEC | Review Level II and Level III reports from CPIC Subcommittee. | Comments provided to Executive Sponsor and CIO. |
| | CIO | Determine lessons learned. | Lessons learned included in CPIC process. |
| | Administrator (A/AID) | Identify any investments of special interest to review. Ultimate authority to approve or disapprove BTEC recommendations for such investments. | Indication of investments of special interest and role wants to play in reviewing them. Approval or disapproval of selected investments. |

577maf_091503_w091603